



TFC

3624

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

13

Application Number

09/706,678

Filing Date

November 6, 2000

First Named Inventor

Webster Hughes

Art Unit

3624

Examiner Name

Patel, Jagdish

Attorney Docket Number

612/2

ENCLOSURES (Check all that apply)☐

Fee Transmittal Form

☐

Fee Attached

☒

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐Reply to Missing Parts/
Incomplete Application☐Reply to Missing Parts
under 37 CFR 1.52 or 1.53☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐Petition to Convert to a
Provisional Application☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

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CD, Number of CD(s) _____

☐ Landscape Table on CD☐

After Allowance Communication to TC

☐Appeal Communication to Board
of Appeals and Interferences☐Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)☐

Proprietary Information

☐

Status Letter

☒Other Enclosure(s) (please identify
below):

Return postcard.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Kaplan Gilman Gibson & Dernier LLP (Customer No. 27538)

Signature

Printed name

Matthew B. Dernier

Date

June 8, 2006

Reg. No.

40,989

CERTIFICATE OF TRANSMISSION/MAILING

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Paula M. Halsey

Date

June 8, 2006

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Attorney Docket No. 612/2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Webster Hughes, et al.

Application No.: 09/706,678

Group Art Unit: 3624

Filed: November 6, 2000

Examiner: Patel, Jagdish

For: METHOD & SYSTEM FOR REBROKERING
ORDERS IN A TRADING SYSTEM

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT PURSUANT TO 37 C.F.R. §1.111

Sir:

In response to the Office Action mailed April 13, 2006 and the Examiner Interviews of May 16, 2006, and May 24, 2006, please reconsider the above-identified patent application amended as follows and in light of the following remarks:

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: June 08, 2006

Signature: 

Print Name: Paula M. Halsey